# Predictors of Obstetric Anal Sphincter Injury during waterbirth: A secondary analysis of a prospective observational study

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# FINANCIAL DISCLAIMER/CONFLICT OF INTEREST: NONE

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WORD COUNT: 2557

# ABSTRACT

*Introduction and Hypothesis*: Obstetric Anal Sphincter Injury (OASI) during childbirth is associated with urino-genital pain and dysfunction. Waterbirth is a popular birth choice for women, but controversy remains around the risk of OASI during waterbirth. This study reports on the incidence of OASI, and factors associated with OASI, for a cohort of women who gave birth in water.

*Methods*: This secondary analysis used prospectively collected data from 2,908 women who gave birth in water in the hospital setting. Incidence of OASI was calculated. Univariable and multivariable logistic regression analysis evaluated factors associated with OASI.

*Results*: The incidence of OASI was 1.9% (95% CI 1.4, 2.4) for all women. In nulliparae it was higher (3.2%, 95% CI 2.3, 4.3), than for multiparae (0.9%, 95% CI 0.5, 1.4). In the multivariable analysis two variables were associated with OASI; multiparity was negatively associated with OASI (aOR 0.24, 95% CI 0.12, 0.50, p < 0.001), and birth weight was positively associated with OASI (aOR 1.001, 95% CI 1.000, 1.002, p = 0.02). A 'hands-on' technique was used during only 13% of births. Birth position supporting a flexible sacrum did not influence OASI risk.

*Conclusions*: A low incidence of OASI was found for this cohort of women. The low proportion of midwives using a hands-on technique suggests that it may not be required in waterbirth.

# **KEYWORDS**

Birthing pool, OASI, perineal trauma, severe perineal trauma, waterbirth.

# SUMMARY

# Brief summary (25 words)

Incidence of obstetric anal sphincter injury was low (1.9%) in 2,908 women who had a waterbirth. Multiparity was protective while birthweight was a risk factor.

#### INTRODUCTION

Severe perineal trauma, often referred to as Obstetric Anal Sphincter Injury (OASI) is associated with short and long-term morbidity, such as perineal pain [1-3], dyspareunia [2-4] and anal incontinence [5,6]. The true prevalence of OASI is unclear, with studies reporting values from 2.3% [3] to 11% [7]. However, given that OASI is considered to be the leading cause of faecal incontinence in childbearing women [8], and that there is an apparent increasing trend in OASI prevalence [9], prevention of OASI wherever possible is a clinical priority.

In the UK, the increased reported incidence of OASI has resulted in a recommendation by the Royal College of Obstetricians and Gynaecologists (RCOG) that for spontaneous vaginal birth midwives apply pressure to the fetal head and the perineum [10,11]. The OASI Care Bundle is based on observational research [9]. However, a Cochrane review including 20 randomised controlled trials of 15,181 women did not show a difference in OASI for women who received hands on pressure to the fetal head compared with hands off or poised [12]. Factors shown to be consistently associated with an increased risk of OASI include instrumental delivery [13-18], with forceps associated with a higher risk than ventouse [13-16], longer duration of second stage of labour [14,18,19], nulliparity [12,14,19,20-22], large for gestational age or birthweight [10,12,16,20,21,23], and occipito posterior (OP) position [21,22].

For women who choose to give birth in water, there is divergent evidence on risk of sustaining OASI with some suggesting that birth in water results in higher incidence of OASI [24,25] and some showing the converse [26-28]. The study by Preston et al. [25], showed an increased risk of OASI for waterbirth (3.3%) versus spontaneous birth on land (1.6%), but it was a retrospective design and excluded women who had an episiotomy. The prognostic model did not include maternal birth position in the pool or style of pushing [3].

The current study is a secondary analysis of data from a prospective observational study. The aim is to report the incidence of OASI, and to investigate factors associated with OASI, for a cohort of women who gave birth in water.

#### METHODS

#### Study design

This was a secondary analysis of data collected by a prospective observational study [29] which described the characteristics, interventions and maternal and neonatal outcomes by planned place of birth for pregnant women who used a birthing pool.

#### Setting and participants

Full methods for the original study can be found in the published article [29]. In summary, descriptive data were collected for 8,924 women who entered a birthing pool during labour. Twentysix National Health Service Hospital Trusts provided data collected between 2000 and 2008. Place of birth included Obstetric Units (OU); Alongside Midwifery Units (AMU); Freestanding Midwifery Units (FMU) and midwife-attended home births. Birthing pool dimensions were ascertained to ensure that they were sufficiently large to enable a woman to adopt a range of different positions.

This secondary analysis included data for 2,908 women who had a waterbirth (defined as the neonate being born spontaneously under the water) in an OU (N = 1,860) or AMU (N = 1,048). We were unable to include the community setting (FMU and home births) due to insufficient OASI events (n=12 from 1,783 waterbirths). Women were excluded from the sample if they had a previous caesarean section; breech presentation or an induction of labour because these characteristics present risk factors that predispose women to an increased likelihood of operative delivery [25].

A range of characteristics, interventions and maternal and neonatal outcomes were recorded. To identify incidence of OASI, perineal trauma was assessed and classified by the attending midwife

and/or obstetrician according to criteria described by Kettle and Tohill [30]. This was recorded as; intact perineum (no trauma to labia, vagina or perineum), first degree (involving the perineal skin only), second degree (involving the perineal muscles and subcutaneous fat with or without perineal skin), OASI (defined as any tear in the anal sphincter, 3A, 3B, 3C and fourth degree extension into the rectal epithelium), and episiotomy [30]. In the UK, obstetricians and midwives are advised to perform a digital anal examination to check for any anal sphincter fibre damage in the presence of a second degree tear or episiotomy, and if found, refer to an obstetrician who has been trained to repair OASI [10].

Outcome data for the 2,908 women included in the secondary analysis, along with relevant maternal or neonatal characteristics, interventions and events were extracted from the original database and imported into Statistical Package for Social Sciences (SPSS) version 24 (2016) for analysis.

#### **Statistical analysis**

Descriptive statistics of the characteristics and outcomes of women and neonates are provided. Frequencies and percentages were calculated for categorical variables, the mean with standard deviation was calculated for continuous variables, after assessing the distribution.

A variable was selected for inclusion in the logistic regression model if it was clinically plausible for it to influence the likelihood of OASI, and sufficient data were available. Data for ethnicity, BMI, OP position and style of pushing were not collected as part of the original study, and so could not be included in the model.

Categorical predictor variables included in the model were parity (nulliparae vs multiparae, with nulliparous as the reference), place of birth (OU vs AMU with OU as the reference) birth position (non- flexible sacrum vs flexible sacrum, with non-flexible sacrum as the reference). Positions that aim to expand the pelvic outlet and take weight off the sacrum, including the coccyx, are defined as flexible sacrum [3]. Flexible sacrum positions were kneeling, all-fours, lateral and supine in the pool.

Non-flexible sacrum positions were semi-recumbent and sitting. The intention was to also include perineal care technique ('hands-on' or 'hands-off' the perineum during birth). However, there were insufficient occurrences of 'hands-on' (n = 2 / 54 in the OASI group and n = 375 / 2854 in non-OASI group and) prohibiting inclusion in the model.

Continuous variables included maternal age (years), duration of time in water (minutes), duration of second stage (minutes) and birth weight (grams). We did not categorise these variables due to the absence of clear clinical evidence to determine thresholds for such categories.

Multicollinearity was assessed using VIF scores in SPSS. There was no evidence of multicollinearity found via VIF scores; all scores were below 1.5 which is well below the threshold of concern. First, univariable logistic regression analyses were run with OASI as the response variable; then all potential explanatory variables were added to a multivariable model using the ENTER method. A p-value of < 0.05 was considered to be statistically significant. The strength and direction of association of explanatory variables with OASI is presented using odds ratios (OR) and 95% confidence intervals (95% CI). Analyses were carried out for women with complete data. All analyses were performed using Statistical Package for the Social Science (SPSS) (Version 25.0; IBM, Armonk, NY, USA)

#### **Ethical consideration**

The study was approved by Oxford Brookes University's Research Ethics Review Group, who at the time advised that consent from the women involved in the observational study was not required (data collection period 2002-2008). Individual study centres determined whether there was a need for local ethics approval.

#### RESULTS

#### **Descriptive statistics**

The participant flow diagram, outlining how the final sample size was determined for the secondary analysis, is shown in Figure 1. Table 1 shows the overall incidence of the different types of perineal trauma, stratified by parity. There was a higher incidence of intact perineum (38.6% vs 19.8% respectively) and first-degree tear (16.3% vs 22.7% respectively) in multiparae compared to nulliparae, and a lower incidence of other trauma (18.9% vs 9.3%) (Table 1).

There were 54 cases of OASI overall, giving an incidence of 1.9% (95% CI 1.4, 2.4). Higher incidence was observed in nulliparae (3.2%, 95% CI 2.3, 4.3), compared to multiparae (0.9%, 95% CI 0.5, 1.4, Table 1). As would be expected during waterbirth, episiotomy was an extremely rare event (0.3% of multiparae and 0.6% of nulliparae, Table 1), but occurred nonetheless.

Descriptive statistics for other factors are shown in Table 2, stratified by parity due to the known influence of parity on pregnancy outcome [31]. Nulliparous women were more likely to adopt non-flexible sacrum positions than multiparous women (nulliparae 58.0%, 95% CI 55.2, 60.7; multiparae 50.1%, 95% CI 47.7, 52.5, Table 2).

#### Logistic regression

Seven variables were tested for a potential association with OASI (Table 3). In the unadjusted univariable analyses, multiparity reduced the likelihood of OASI, and increasing neonatal birth weight and duration of second stage increased the likelihood of OASI. Other potential predictive variables showed no significant relationship.

After adjustment for all other predictors via multivariable analysis, multiparity remained strongly associated with reduced likelihood of OASI (p < 0.001, Table 3). Increasing neonatal birth weight remained positively associated with OASI. Duration of second stage was not associated with OASI in the adjusted analysis.

#### DISCUSSION

The overall OASI incidence of 1.9% was low in this cohort of women giving birth in water in obstetric units or AMUs in the UK. Factors independently associated with OASI for this cohort of women, via multivariable analysis, are those already identified as risk factors for women giving birth on land – namely parity and birthweight [35,36].

The overall low rate we found is comparable to, or lower than, prevalence reported in other studies involving women who had a waterbirth that took place over similar time-periods [33,34], lower than the national rate of 3.5% for women who give birth to a singleton term baby [32] and 3.3% of women who sustained OASI during waterbirth reported by Preston et al [25]. This was a retrospective study of 15,734 obstetrically healthy women who birthed at an AMU, undertaken using data from a similar time-period to the current study. A total of 1,244 of these women had a waterbirth. It is unclear if any of the women who had a spontaneous birth on land spent part of their labour in water. If any did do so, this would present a potential confounder in the control group.

Birth position was recorded as either being supportive of, or inhibiting, a flexible sacrum as per Edqvist et al [3]. We were keen to see if this influenced the risk of OASI due to conflicting evidence in the literature. However, we found no evidence of a relationship between birth position and risk of OASI for women who had a waterbirth. This may suggest that any position adopted during waterbirth will enable flexibility of the sacrum, due to buoyancy provided by the water.

We had hoped to include application of 'hands-on' to the perineum by the midwife, or not, as a potential factor due to ongoing controversy on this topic [10-12]. However, a 'hands-on' technique occurred in only 13% (n=377) of waterbirths with insufficient OASI in the hands-on category to enable analysis. However, the low overall OASI rate of 1.9% in this study, despite hands-off technique used for the majority of the waterbirths (87%), suggests that it does not predispose women to OASI and that hands-on is not a requirement in waterbirth. This warrants further investigation in adequately powered studies with confounding factors controlled for.

#### **Strengths and limitations**

A strength of this study is that the data came from a large, prospective, multicentre observational study, which for the first time reported on birthing pool use across the range of birth settings and analysed by planned place of birth and maternal parity [29]. There are few published prospective studies investigating waterbirth, with most studies being retrospective. Furthermore, data such as position of the midwifes hands are not available in other similar studies.

However, this was a secondary analysis of a subset of these data, and we were limited by the rarity of OASI in the cohort. For example, there were insufficient OASI in the community (FMU/home birth) setting for its inclusion in the study, even though these women are more likely to access a birthing pool during their labour [37]. In addition, as reported in the methods, we were missing some important demographic characteristics which were not obtained as part of the original study. For example, ethnicity and BMI have been identified as predictive factors for OASI [25,36], but could not be included in our analysis. We did not have data for the style of pushing that the women adopted or OP position; factors that may influence perineal outcome [38]. Although the sample included in the study was large (2,908 women), 458 women were excluded prior to analysis due to missing data; this may have influenced the results obtained. Finally, the original study did not include a control group of women who could have, but chose not to, labour and/or give birth in water. Therefore, we were unable to compare incidence of OASI in these two groups.

#### CONCLUSION

A low incidence of OASI was found for this cohort of women. The low proportion of midwives using a hands-on technique suggests that it may not be required in waterbirth. Given the current practice recommendations within the OASI care bundle for midwives to use a hands-on technique this is an interesting finding; and requires further investigation. We found no evidence of a relationship between birth position and risk of OASI for women who had a waterbirth. Waterbirth may, therefore, offer women a birth environment where they can adopt different positions without increasing a risk of OASI. There is a lack of prospective studies reporting on OASI during waterbirth across the full range of care settings. This study therefore adds important knowledge to the evidence base on this topic. Nonetheless, further investigation in adequately powered, prospective studies with confounding factors controlled for is warranted.

# Acknowledgements

We thank the midwives involved in data collection and collation and the women who participated in the study.

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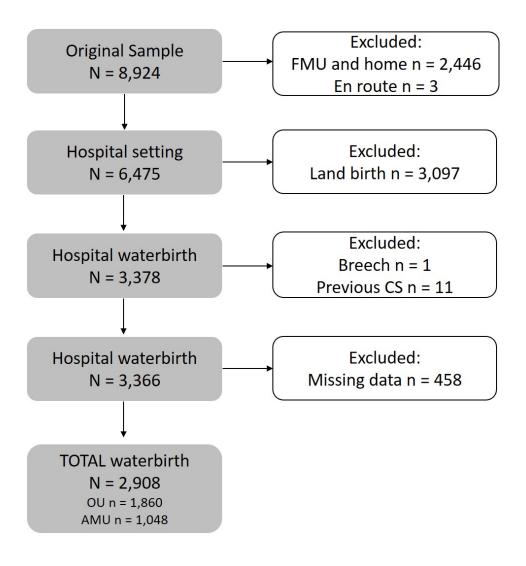
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Figure Legend:

**Figure 1: Participant flow diagram, showing the process used to identify women suitable for inclusion in the secondary analysis.** (FMU = Freestanding Midwifery Unit, AMU = Alongside Midwifery Unit, OU = Obstetric Unit, CS = Caesarean section)



# Table 1: Incidence of perineal trauma stratified by parity

		Nulliparae (n = 1,268)			Multiparae (n = 1,640)			
	Ν	% 95% CI		N	%	95% Cl		
Intact perineum	251	19.8	17.7, 22.1	649	39.6	37.2, 42.0*		
First degree	207	16.3	14.4, 18.5	372	22.7	20.7, 24.8*		
Second degree	522	41.2	38.5, 43.9	446	27.2	25.1, 29.4*		
Episiotomy	8	0.6	0.3, 1.3	5	0.3	0.1, 0.7		
OASI	40	3.2	2.3, 4.3	14	0.9	0.5, 1.4*		
Other <sup>\$</sup>	240	18.9	16.9, 21.2	154	9.3	8.1, 10.9		

OASI = Obstetric Anal Sphincter Injury, 95% CI = 95% Confidence interval.

\$ = This includes women who sustained anterior trauma only, eg labial tears, or vaginal tear only.

\* = p<0.05

# Table 2: Descriptive statistics for potential predictors of Obstetric Anal Sphincter Injury for women

# who had a waterbirth stratified by parity

		Nulli	barae	Multiparae				
			(n = 1	.,268)	(n = 1,640)			
		Me	ean	SD	М	ean	SD	
Maternal age (years)	27.9		5.4	31.4		4.99		
Duration of time in pool (mi	163.7		108.2	9	2.9	69.9		
Length 2 <sup>nd</sup> stage (minutes)	45.0		33.5	15.2		15.9		
		Nulliparae (n = 1,268)			Multiparae			
					(n = 1,640)			
	Ν	%	% 95% CI		Ν	%	95% CI	
Birth position								
Flexible sacrum*	533	42.0	39.3	, 44.8	818	49.9	47.5, 52.3	
Non-flexible sacrum*	735	58.0	55.2	, 60.7	822	50.1	47.7, 52.5	
Midwife hand position								
Hands on**	150	11.8	11.8 10.2, 13		227	13.8	12.3, 15.6	
Hands off**	1118	88.2	88.2 86.3, 89.9		1413	86.2	84.4, 87.7	

SD = Standard deviation, 95% CI = 95% Confidence interval.

\* Maternal position at birth, where positions expanding the pelvic outlet are termed flexible sacrum positions [3]

\*\* = Position of midwives' hands during birth of the fetal head: hands on or hands off the perineum

		Analysed	OASI (%)	Univariable OR (95% CI)			Multivariable OR (95% CI)		
		Ν		OR	95% CI	р	OR	95% CI	р
•	Nulliparous	1268	3.2	1.00					
	Multiparous	1640	0.9	0.26	0.14, 0.49	<0.001	0.24	0.12, 0.50	<0.001
Flexible sacrum*	No	1557	1.9	1.00			1.00		
	Yes	1351	1.9	0.98	0.58, 1.72	0.98	1.06	0.61, 1.84	0.84
Place of birth	OU	1860	1.8	1.00					
	AMU	1048	2.0	1.13	0.65, 1.97	0.67	1.23	0.70, 2.15	0.48
Midwife hand position**	n** On	377	0.5						
	Off	2531	2.1						
Mothers age (years)		2908	n/a	0.98	0.93, 1.03	0.45	1.02	0.96, 1.01	0.56
Baby birthweight (grams)		2908	n/a	1.001	1.000, 1.001	0.04	1.001	1.000, 1.002	0.02
Total time in pool (minutes)		2908	n/a	1.003	1.001, 1.005	0.09	1.00	0.99, 1.00	0.81
Length of second stage (minutes)		2908	n/a	1.01	1.00, 1.02	<0.001	1.01	0.99, 1.01	0.25

# Table 3: Predictors of OASI for women who gave birth in water

OASI = Obstetric Anal Sphincter Injury, OR = Odds Ratio, 95% CI = 95% Confidence interval.

\* Maternal position at birth, where positions expanding the pelvic outlet are termed flexible sacrum positions [3]

\*\* Position of midwives' hands at birth: hands on or off the perineum. NB: there were insufficient records of hands on to include this variable in the model; overall numbers are provided here for clarity